



Academic Research Enhancement Award (AREA) Program

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- **Overview of AREA (R15) program**
- **How R15s differ from other Rs**
- **Strategies for success**
 - **Institutional level**
 - **PI level**



Goals of AREA program

- Support meritorious research
- Expose students to research
- Strengthen the research environment of the institution



Purpose of AREA Program

- Support **small-scale research projects** in the biomedical and behavioral sciences
- conducted by **faculty and students**
- in educational institutions that have **not been major recipients** of NIH research grant funds



Key features

- Project period is limited up to 3 years
- Direct cost limited to \$300,000 over entire project period
- Multiple PIs are allowed, if all eligible
- Research Strategy limited to 12 pages
- **Grants are renewable**
- Preliminary data not required but can be provided



Application logistics

- Funded through the R15 grant mechanism
 - Program Announcement (PA) Number: PA-13-313
- Receipt dates
 - Standard application deadlines: February 25, June 25, and October 25
 - AIDS-related research deadlines: May 7, September 7, and January 7
- All NIH ICs participate in the PA-13-313 except FIC and NCATS
 - Check NIH Guide for one-time RFAs
 - Grants.nih.gov/grants/guide



Differences between R15 & other Rs

- **Unique review criteria**
- **Unique application requirements**
- **Eligibility**
 - **Institution**
 - **PI**



Some review criteria are unique

- PA-12-006 & PA-13-313
- Significance
 - Strengthen research environment
 - Expose students to research
- Investigator
 - Experience supervising students in research?
- Approach
 - Can project stimulate students' interest so they consider biomedical/behavioral science career?
- Environment
 - Well qualified students available?
 - Have or likely will students pursue biomedical/behavioral science careers?



Overall

- “Important scientific contribution”
- Provide research opportunities for students
- Strengthen research environment



Addition to PI biosketch

- Summary of previous/current experience supervising students in research
- Specify which pubs/patents involved students under their supervision



Additions to Facilities

- For institution or qualifying College/School
- Profile of students
- Estimate of # who obtained Bachelor & went on to doctoral degree in last 5 years
- Special characteristics that make it appropriate for 3 goals of AREA
- Impact of R15 on PI & institution
- Any institutional support
- Limited use of special facilities elsewhere

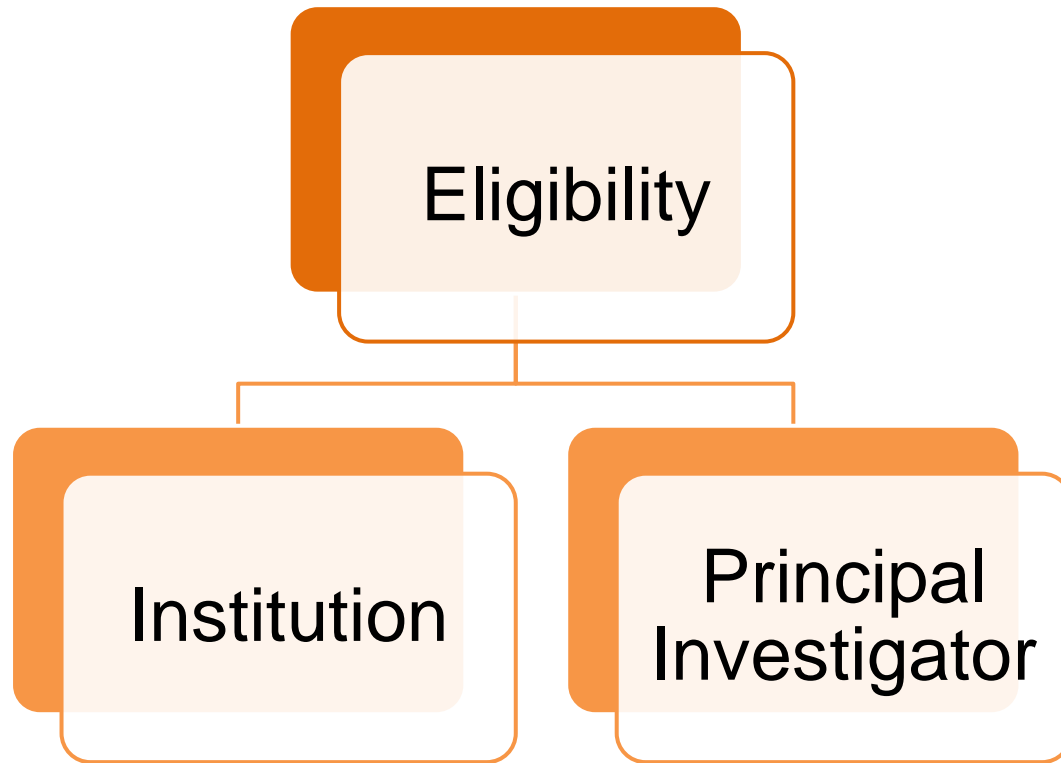


Changes to R15 over time

- Now considered career-long, no longer stepping stone to R01
- **Renewable**
- Now clear incorporation of R15 goals in review criteria
- Now softened language about expected scientific impact



Eligibility



Eligibility = applicant institution and PI only

Eligibility ≠ collaborators



Institution eligibility

- US institutions only
- Baccalaureate or advanced degree in biomedical or behavioral science
- Degree granting & accredited
- Receives less than \$6 million per year in NIH support in 4 out of last 7 years



There is no “eligible” list

- Ineligible list is on AREA Program website
 - http://grants.nih.gov/grants/funding/area_ineligible.htm
 - “College” is called “School”
 - City listed does not necessarily mean that campus only
 - Name might not be what appears on your application
- “Other Academic” = sum of everything that is not an R15-defined Health Professional School



PI eligibility

- Primary appointment at eligible institution
- OK:
 - Also serve as consultant (e.g., Key Personnel) on another grant
- Not OK:
 - Also serve as PI of other NIH research grants at time of award
 - Also serve as Multiple PI on another NIH research grant at time of award



FAQ: ineligible collaborators?

- Can I have a collaborator who is not at an AREA-eligible institution, at home campus or another site?
- Eligibility answer: Yes
- Merit answer: **But** keep the unique goals and criteria of the R15 in mind
 - **No one** can predict what level of involvement will be seen as counter to the R15 goals
 - Pre-PA-12-006, unique attributes not included as strongly in review criteria



Strategies for success

- **Institution**
- **Investigator**
- **Both**



Build a vital research environment

- Understand the NIH extramural research program
 - Know guidelines, deadlines, submission & correction process, and review criteria
 - Support the “grants office”
- Make a commitment to establishing an environment in which research can succeed
 - Start up packages for equipment and supplies
 - Pilot grants, student research grants
 - Credit for student involvement in research
- Consider the importance of collaborative research in establishing a successful research environment



...Build a vital research environment

- Do not pressure investigators to apply if their projects are not ready for peer review
 - Quality over quantity; submit best application
 - ~~“Get some feedback from the reviewers”~~
- Help investigators with the “Facilities and Other Resources” section of application
 - Profile of student body
 - Description of the institution and research environment
 - Letter of institutional commitment to research project
 - Maintain as resource & revise per Summary Statements



Strategies of Successful PIs

- Include a collaborator or consultant if you don't have the necessary expertise or resources
- Understand the review criteria and the review criteria questions
 - Each question should be addressed in the application
- In A1, respond thoroughly and diplomatically to all of the reviewer comments
- AREA grant is research award, not training award
 - Focus on hands-on research not course work
 - Describe PI's role in research & supervision



More Strategies of Successful PIs

- Address the AREA-specific programmatic goals in the application
 - **Support meritorious research**
 - Research should contribute to the field
 - Results should be publishable
 - **Expose students to research**
 - Profile of available and former students at the institution
 - Experience of the investigator in working with students
 - How students will be incorporated into the research project
 - How students will benefit from this research experience
 - **Strengthen the research environment**
 - The suitability of the institution for an award
 - The impact the AREA grant will have on the institution



Advice from PUIs

- Involve first years & sophomores
- Consider a tech, given academic year fluctuations
- Assess what will be crucial to keeping your research moving during fluctuations
 - Part time technician
 - Seasonal technician
 - Duties for existing employee (teaching, lab maintenance)
 - Shared technician for several labs/departments (recent graduates)

There is no winning formula

- ❖ No one can give specifics of what will score well
- ❖ Do not treat a successful [or not] application as an iron-clad template [of what not to do]
 - How many students
 - How many papers
 - What % of a collaborator
 - What % of special facilities
 - What amount or type of institutional support
 - What type of environment



News & resources

- AREA Program evaluation underway
 - [Assessing Program's success in meeting its goals](#)
 - [Surveys & interviews by Westat](#)
- AREA Program Facebook page
 - [Like us on Facebook](#)
 - <https://www.facebook.com/NIHAreaProgram>
- AREA Program FAQs
 - http://grants.nih.gov/grants/funding/area_faq.htm
- AREA mailbox
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